Riccardo Pengo

Mahler measure: definition and basic properties

Exact polynomials: from Darboux to Lalín

Our contribution: a geometric approach



Riccardo Pengo (based on joint work in progress with François Brunault¹)

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Geometry, Arithmetic and Differential Equations of Periods (GADEPs), 27 August 2021



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Alexander Grothendieck

So many periods...

Periods $z \in \mathbb{C}$ admit the following equivalent characterizations: Elementary $|\Re(z)|, |\Im(z)|$ are volumes of Q-semi-algebraic sets; K.-Z. $|\Re(z)|, |\Im(z)|$ are of the form $\int_{g \leq 0} f$, for $f, g \in \mathbb{Q}(t)$;

Motivic $z = \langle \eta, \gamma \rangle_{(X,D)}$, where $\eta \in H^n_{dR}(X, D)$ and $\gamma \in H^B_n(X, D)$, for some smooth variety $X_{/\mathbb{Q}}$ and some divisor $D \hookrightarrow X$ which can be taken to have simple normal crossings.

Example: $\Im(2\pi i)/2 = \operatorname{Vol}(x^2 + y^2 \le 1) = \int_{-\infty}^{+\infty} \frac{dt}{t^2 + 1}$, and $2\pi i = \left\langle \begin{bmatrix} \frac{dz}{z} \\ z \end{bmatrix}, \begin{bmatrix} 0 \end{bmatrix} \right\rangle$ Problem: Given a period, express it as a motivic one. This allows to:

- make predictions about transcendence, via the *period conjecture*;
- place our period in various filtrations (e.g. study its weight).

Today: We are going to see this for the *Mahler measure* of a polynomial.

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 $Z_{u}=(Z_{1}, Z_{u}), Z_{u}^{*}=Z_{1}^{*}-Z_{u}^{*}$

Kurt Mahler

Mahler (1962): For $P \in \mathbb{C}[z_n^{\pm 1}] \setminus \{0\}$, let $m(P) := \int_{\mathbb{T}^n} \log |P| d\mu_n$, where $\mathbb{T}^n := (S^1)^n$ and $\mu_n = \frac{1}{(2\pi i)^n} \left(\frac{dz_1}{z_1} \wedge \cdots \wedge \frac{dz_n}{z_n} \right)$ is the Haar probability measure. We have $m(P) = \log(\lim_{p \to 0} ||P||_{p,\mu_n})$, but also m(PQ) = m(P) + m(Q). Moreover, $m(P) \simeq \log(\ell(P))$, where $\ell(\sum_{v \in \mathbb{Z}^n} a_v \cdot \underline{z}_n^v) = \sum_{v \in \mathbb{Z}^n} |a_v|$ is the length. In particular, $m(P) \ge 0$ if $P \in \mathbb{Z}[z_n^{\pm 1}] \setminus \{0\}$. Lawton (1977): If $P \in \mathbb{Z}[z_n^{\pm 1}] \setminus \{0\}$, then $m(P) = 0 \Leftrightarrow P = z_n^w \cdot \prod_{i>1} \Phi_i^j (z_n^{\vee_i})^{a_i}$. This generalizes Kronecker (1884). Other proofs by Boyd (1981), Smyth (1981). Pierce (1917) If $P(z_1) = \prod_j (z_1 - \alpha_j) \in \mathbb{Z}[z_1]$, then $\Delta_n(P) := \prod_j \alpha_j^n - 1$ is easier to factor than a random integer. Often, $\Delta_n(P)/\Delta_1(P)$ is prime, if n is prime. Lehmer (1933) We have $\Delta_{n+1}(P)/\Delta_n(P) \rightarrow \exp(m(P))$. Thus, we want the smallest m(P) > 0. Does it exist? If so, is it achieved by:

A huge problem about small Mahler measures

$$P(z_1) = z_1^{10} + z_1^9 - z_1^7 - z_1^6 - z_1^5 - z_1^4 - z_1^3 + z_1 + 1 ?$$

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David William Boyd

The multivariate aspects of Lehmer's problem

Let $\mathcal{M}_n := m(\mathbb{Z}[\underline{z}_n^{\pm 1}] \setminus \{0\}) \subseteq \mathbb{R}_{\geq 0}$, and $\mathcal{M}_\infty := \lim_{n \geq 1} \mathcal{M}_n(\mathbb{Z}) \subseteq \mathbb{R}_{\geq 0}$. Boyd (1981) $m(P) = \lim_{d \to +\infty} m(P(z_1, z_1^d, z_1^{d^2}, \dots, z_1^{d^C}))$, if $P \in \mathbb{C}[z_n^{\pm 1}] \setminus \{0\}$. Hence, we have $\mathcal{M}_1 \subseteq \mathcal{M}_\infty \subseteq \mathcal{M}_1$, and $\mathcal{M}_1 = \mathbb{R}_{\geq 0}$ if $\inf(\mathcal{M}_1 \setminus \{0\}) = 0$. Thus, if \mathcal{M}_{∞} is closed, then Lehmer's question has a positive answer. For $P \in \mathbb{C}[z_n^{\pm 1}]$ and $A \in \mathbb{Z}^{m \times n}$, let $P_A(z_m) := P(z_1^{a_{1,1}} \cdots z_m^{a_{m,1}}, \dots, z_1^{a_{1,n}} \cdots z_m^{a_{m,n}})$. Smyth (2018) For $P \in \mathbb{C}[z_n^{\pm 1}]$, the set $\mathcal{M}(P) := \{m(P_A) : A \in \mathbb{Z}^{* \times n}\}$ is closed. Moreover, \mathcal{M}_{∞} is filtered by the sets $\mathcal{M}(Q_d)$, where $Q_d := \sum_{j=1}^d (z_{2j-1} - z_{2j})$. Brunault, Guilloux, Mehrabdollahei, P. (2021) For $P \in \mathbb{C}[z_n^{\pm 1}] \setminus \{0\}$, we have that $m(P) = \lim_{\rho(A) \to +\infty} m(P_A)$, where $\rho(A) := \min\{\|v\|_{\infty} : v \in \ker(A) \setminus \{0\}\}$. This gives us new limit points inside \mathcal{M}_{∞} , and generalizes Lawton (1983).

As a special case, we recover an identity of Mehrabdollahei (2020), concerning the limit of $m(P_d)$, where $P_d(z_1, z_2) = \sum_{\substack{0 \le a+b \le d}} z_1^a z_2^b$.

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Christopher Deninger

Mahler measures and special values of *L*-functions

Boyd (1998) looked for small numbers inside \mathcal{M}_2 , and found numerically: $m\left(\frac{1}{z_1} + \frac{1}{z_1} + \frac{1}{z_2} + \frac{1}{z_2} + \frac{1}{z_2} + \frac{1}{z_2}\right) \stackrel{?}{\sim}_{\mathbb{Q}^{\times}} L'(\mathcal{E}_k, 0)$

whenever $k^2 \in \mathbb{Z}$. Today, this has been proven for:

$$\begin{bmatrix} k \in \{-4\sqrt{2}, -2\sqrt{2}, 1, 2, 3, 2\sqrt{2}, 3\sqrt{2}, 5, 8, 12, 16, i, 2i, 3i, 4i, \sqrt{2}i\} \end{bmatrix}$$

by Rodriguez-Villegas (1999), Rogers & Zudilin (2014), Brunault (2016), etc...)

These identities can be related to the conjectures of Beilinson (1984) on special values of L-functions. Indeed, Deninger (1997) proved that:

$$\widehat{m(P)} = \widehat{m(P(\underline{z}_{n-1}, 0))} + \langle r_{V_P}^{\infty}(\{\underline{z}_1, \dots, \underline{z}_n\}), [\gamma_P] \otimes (2\pi\sqrt{-1})^{1-n} \rangle_{(V_P, \partial \gamma_P)}$$

where $V_P := \{P = 0\} \hookrightarrow \mathbb{G}_m^n$ and $\gamma_P := V_P(\mathbb{C}) \cap \{[\underline{z}_1] = \dots | \underline{z}_{n-1}| = 1, |\underline{z}_n| \le 1\}$.
Hence, if V_P is smooth, P is tempered, and $\partial \gamma_P = \emptyset$, Beilinson's conjectures
predict $\underline{m(P)} \longleftrightarrow \underline{L}^*(\underline{H}^{n-1}(\overline{V_P}), 0)$, for a smooth compactification $\overline{V_P}$ of V_P

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Spencer Janney Bloch

In particular, Bornhorn (1999), following the ideas of Deninger (1997), proves that Boyd's conjecture:

Some little steps...

$$m\left(z_{1}+\frac{1}{z_{1}}+z_{2}+\frac{1}{z_{2}}+k\right)\stackrel{?}{\sim}_{\mathbb{Q}^{\times}}L'(E_{k},0)$$

holds under Beilinson's conjectures. This can be generalized to the family:

$$P(z_1, z_2) = z_1 + \frac{1}{z_1} + z_2 + \frac{1}{z_2} + \frac{z_1}{z_2} + \frac{z_2}{z_1} + \frac{z_2}{z_1} + k$$

which was treated in Theorem 4.4.3 of P. (2020).

One can also use a weak form of Beilinson's conjectures for CM elliptic curves $E_{/\mathbb{Q}}$, proved by Bloch (1978) (see also Rohrlich (1987)), to show that:

 $m(P) = rL'(E,0) + \log|s|$

for some $P \in \mathbb{Z}[z_1, z_2]$, and two numbers $r \in \mathbb{Q}$ and $s \in \overline{\mathbb{Q}}^{\times}$. This was done in Theorem 9.2.4 of P. (2020).

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Fernando Rodriguez-Villegas

A gallery of explicit relations

Some identities between Mahler measures and zero-dimensional *L*-functions: Smyth (1981) $m(L_2) = L'(\chi_{-3}, -1)$ and $m(L_3) = -14 \cdot \zeta'(\underline{C})$, with $L_n = \sum_{j=0}^n z_{j+1}$ Ray (1987), Boyd & Rodriguez-Villegas (2002) Many more $L'(\chi_{\Delta}, -1)$ for $\Delta < 0$. Lalín (2006) $m(z_0 S_{2k}^- + S_{2k}^+) \in \langle \zeta'(-2), \dots, \zeta'(-2k) \rangle_{\mathbb{Q}}$, for $S_m^{\pm} = \prod_{i=1}^m (1 \pm z_i)$. D'Andrea & Lalín (2007) $m((1-z_1)(1-z_2)-(1-z_3)(1-z_4)) = -18 \cdot \zeta'(-2).$ What about higher-dimensional L-functions? Rodriguez-Villegas (2004) $m(L_4) \stackrel{?}{=} -L'(f, -1)$ and $m(L_5) \stackrel{?}{=} -8 \cdot L'(g, -1)$, for two modular forms $f \in S_3(15)$ and $g \in S_4(6)$. How to go on? Note that $m(L_n)$ is related to the probability density of a random walk with *n*-steps, as studied by Borwein & Straub & Wan & Zudilin (2012).

Finally, some elliptic curves may appear, such as:

 $m(z_1 - (1 - z_2)(1 - z_3)) \stackrel{?}{=} -2 \cdot L'(X_1(15), -1)$

studied by Boyd & Rodriguez-Villegas (2004) and Lalín (2013).

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Matilde Noemí Lalín

Looking for answers: the notion of exactness

In all the previous examples, either $\partial \gamma_P \neq \emptyset$ or V_P is not smooth. Maillot (2004) We should look at $W_P := V_P \cap V_{P^*}$, where $P^*(z_n) := P(\overline{z_n}^{-1})$. Why this? Suppose P is exact, i.e. $r_{V_P}^{\infty}(\{z_1,...,z_n\}) = 0$ inside $H_{dR}^{n-1}(V_P)$. Then, using Stokes, we can write $m(P) = \int_{\partial Y_P} \omega$ for some $\omega \in \Omega^{n-2}(W_P)$. This already explains Smyth's identity $m(L_2) = L'(\chi_{-3}, -1)$, because $W_{L_2} = \{(\zeta_3, -\zeta_3 - 1), (-\zeta_3, \zeta_3 - 1)\}$. What about $m(L_3) = -14 \cdot \zeta'(-2)$? Lalín (2007) Some polynomials are *successively exact*, so we can apply Stokes's theorem multiple times. Since $\partial \circ \partial = 0$, this can't be done directly. However, if W_P is singular, the pullback of ω to the desingularization $\overline{W_P}$ of W_P may become exact, while $\partial \gamma_P$ might acquire a boundary! For instance, if $P \in \mathbb{C}[z_3^{\pm 1}]$, one can take $\overline{W_P} = \{ \operatorname{Res}_{z_3}(P, P^*) = 0 \}$.

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Jean Gaston Darboux

The first step: symmetrizing the Deninger cycle Brunault & P. (2021): If $P \in \mathbb{C}[\underline{z}_n^{\pm 1}] \setminus \{0\}$ and $V_0: \{P \cdot P^* = 0\} \hookrightarrow \mathbb{G}_m^n$, then: $(\{\downarrow_{n-1}^{*}(N_0) \ m(P) - m(P(\underline{z}_{n-1}, 0)) = \langle \eta_0, \gamma_0 \rangle_{V_0})$ where $\eta_0 = r_{V_0}^{\infty}(\{z_1, \dots, z_n\})$ and $\gamma_0 \in H_{n-1}^{\mathsf{B}}(V_0)$ is a symmetrized version of γ_P . Thus, looking at the Mayer-Vietoris long exact sequence:

 $\cdots \to H^{n-2}_{\mathrm{dR}}(W_P) \xrightarrow{\delta} H^{n-1}_{\mathrm{dR}}(V_0) \to H^{n-1}_{\mathrm{dR}}(V_P) \oplus H^{n-1}_{\mathrm{dR}}(V_{P^*}) \to \dots$

we get a class $\eta_1 \in H^{n-2}_{dR}(W_P)$ if $\eta_0|_{V_P} = 0$. Hence, we get:

 $m(P) - m(P(\underline{z}_{n-1}, 0)) = \langle \eta_1, \gamma_1 \rangle_{V_1} \rangle_{P}$

where $\gamma_1 = \partial(\gamma_0)$ is obtained by looking at the adjoint Mayer-Vietoris long exact sequence in homology. Thus, say that P is exact if $\eta_0|_{V_P} = 0$, as before. Historical note: Maillot points out that the relation between the involution $\underline{z}_n \mapsto \underline{z}_n^{-1}$ and the intersection $V_P(\mathbb{C}) \cap \mathbb{T}^n$ might go back to Darboux (1875).

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Heisuke Hironaka

How to go on: successive desingularization

There exist exact reciprocal polynomials, such as $P = z_1 + z_1^{-1} + z_2 + z_2^{-1} + 4$. In this case, $W_P = V_P$ is not the good variety. How to deal with them? Brunault & P. (2021): Generically, $W_P = V_0^{\text{sing}}$. So, one can look at: $\dots \longrightarrow \widetilde{V}_{k+1} \longrightarrow \widetilde{V}_k \longrightarrow \dots \longrightarrow \widetilde{V}_2 \longrightarrow \widetilde{V}_1 \longrightarrow \widetilde{V}_0$ \mathbb{G}_m^n $\dots \longrightarrow V_{k+1} \longrightarrow V_k \longrightarrow \dots \longrightarrow V_2$ $\dots \longrightarrow W_{k+1} \longrightarrow W_k \longrightarrow \dots \longrightarrow W_2 \longrightarrow W_1$ where each \tilde{V}_k is smooth. Here, a polynomial is exact if $\eta_0|_{\tilde{V}_0} = 0$. By induction, P is *k*-exact if it is (k-1)-exact and $\eta_{k-1}|_{\mathcal{U}_{k-1}} = 0$. We get: $m(P) - m(P(z_{n-1}, 0)) = \langle \eta_k, \gamma_k \rangle_{V_k}$

for $\eta_k \in H^{n-1-k}_{dR}(V_k)$ and $\gamma_k = \partial(\gamma_{k-1}) \in H^B_{n-1-k}(V_k)$.

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Pierre René Deligne $\left(\left| \mathcal{A}^{\mathcal{N}-\mathcal{V}} \left(\overline{\mathcal{D}}^{(\mathcal{W})} \right) \right| \mathcal{N} \right)$

 $\dots \to H^{n-2}_{dR}(D) \longrightarrow H^{n-1}_{dR}(X,D) \longrightarrow H^{n-1}_{dR}(X) \longrightarrow H^{n-1}_{dR}(D) = 0$

and consider the spectral sequence $H^q(D^{(p)}) \Rightarrow H^{p+q}(X,D)$, inducing $\operatorname{Fil}^{\bullet}_{\operatorname{rel}}$. Brunault & P. (2021): Say that P is k-exact if $\tilde{\eta} \in \operatorname{Fil}^k_{\operatorname{rel}}(H^{n-1}_{\operatorname{dR}}(X,D))$. Let $\tilde{\gamma} \in H^{\mathsf{B}}_{n-1}(X,D)$. If $\tilde{\eta} \notin \operatorname{Fil}^{k+1}_{\operatorname{rel}}$ and $\tilde{\gamma} \in \operatorname{Fil}^{\operatorname{rel}}_k \setminus \operatorname{Fil}^{\operatorname{rel}}_{k-1}$, we have:

 $m(P) - m(P(\underline{z}_{n-1}, 0)) = \langle \operatorname{gr}_{\operatorname{rel}}^{k}(\widetilde{\eta}), \operatorname{gr}_{k}^{\operatorname{rel}}(\widetilde{\gamma}) \rangle_{(X,D)} = \langle \widetilde{\eta}_{k}, \widetilde{\gamma}_{k} \rangle_{D^{(k)}}$

which computes m(P) as an absolute period on the smooth variety $D^{(k)}$.

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Christopher Smyth

 $m(t+t^{\sigma}+t^{s^{2}}t_{1})$ An example: the three-variable linear polynomial

Let $P = L_3 = z_1 + z_2 + z_3 + 1$. Recall that $M(P) = -14\zeta'(-2)^1$ by Smyth (1981). Let $Z = \{z_1 = 0\} \cup \{z_2 = 0\} \cup \{z_1 + z_2 = 1\}$ and $S = \{z_1 = 1\} \cup \{z_2 = 1\} \cup \{z_1 + z_2 = 0\}$. We have $V_P \cong \mathbb{A}^2 \setminus Z$, thus $H^2_{dR}(V_P) \cong H^1_{dR}(V_P) \cong \mathbb{R}^3$, and $W_P \cong S \setminus (S \cap Z)$. Hence, $H^1_{dR}(W_P) \cong \mathbb{R}^4$ and $\operatorname{Im}(H^1_{dR}(V_P) \oplus H^1_{dR}(V_{P^*}) \to H^1_{dR}(W_P)) \cong \mathbb{R}^3$. Therefore, $H^1_{dR}(V_0) \cong \mathbb{R}^3$ and $H^2_{dR}(V_0) \cong \mathbb{R}^7$. We get a diagram:

whose rows are exact. Note that $D = W_P \sqcup W_P$ and $X = V_P \sqcup V_{P^*}$. Finally, $\tilde{\eta} \in \operatorname{Fil}^1_{\operatorname{rel}}(H^2(X,D)) = \operatorname{Fil}^2_{\operatorname{rel}}(H^2(X,D)) \cong \mathbb{R}^2$, and $D^{(2)} \cong \operatorname{Spec}(\mathbb{Q})^{\sqcup 6}$. Thus, we should indeed expect (and we can prove) $m(P) \sim_{\mathbb{Q}^{\times}} \zeta'(-2)$.

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Francois Brunault

$L_{eg}(x), x \in \overline{Q_{1}} \cap \mathbb{R}_{>0} \quad (e^{-1}) = (e^{-1}) - m(P(z_{1}, z_{2}))$ Further steps and directions

- Resolve the ambiguity $\operatorname{Im}(H^{n-2}_{dR}(V^{\operatorname{sing}}_{0}) \to H^{n-1}_{dR}(X,D))$ for $\widetilde{\eta}$.
- Write m(P) as a period for $(\overline{X \setminus A, B \setminus (A \cap B)})$, with \overline{X} smooth projective. Relate this to $\mathfrak{X}(\Delta_P)$ (toric variety) and to (successive) *temperedness*.
- Compare with the weight filtration on $H^{n-1}(V_0)$.
- Compare with the degeneration P · P* = t for t → 0. To do so, study P · P* - t ∈ C((t))[z^{±1}_n], maybe via tropical homology.
- Make γ_P more canonical, following (perhaps) Besser & Deninger (1999).
- Study the families L_n (1-exact if $n \neq 3$) and $z_0 S_n^- + S_n^+$ ((n-1)-exact).
- "Compute" $m(L_4)$ and $m(L_5)$ up to \mathbb{Q}^{\times} , and assuming Beilinson's conj.
- Study the co-exactness filtration on $\mathscr{M}_\infty.$
- Write m(P) as a motivic period, following Brown (2017)
 Maybe it's a single valued period, as in Brown & Dupont (2021).
- Study this in fibrations, as in Doran & Kerr (2011).
- Compute trdeg(Q(π, m(P₁),..., m(P_r))/Q), assuming the period conj.

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Thank you very much for your attention!

Está preparando seu espírito e sua vontade, porque existe uma grande verdade neste planeta: seja você quem for ou o que faça, quando quer com vontade alguma coisa, é porque esse desejo nasceu na alma do Universo.

Paulo Coelho de Souza, O Alquimista

P.S: Did you get curious about Mahler measures? Check out the book: Many Variations of Mahler Measures: A Lasting Symphony by Brunault and Zudilin (2020).